



**Request for Proposals: 2019-20-P
Home Assessment Services of Injured Workers**

**Issue Date: November 12, 2019
Closing Date: December 9, 2019 before 2:00 p.m. (NL Time)**

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

SECTION 1	OVERVIEW AND SCOPE OF SERVICES.....1
	1.1 Request for Proposal Summary
	1.2 Glossary of Terms
	1.3 Intent
	1.4 Background
	1.5 Scope of Work
	1.6 Home Assessment Referral Process
	1.7 Home Assessment Reporting
	1.8 Service Regions
	1.9 Volume of Referrals
	1.10 Mandatory Criteria
	1.11 Fee Structure
SECTION 2	INSTRUCTIONS TO APPLICANT.....6
	2.1 Electronic Copy of this Document
	2.2 RFP Closing and Delivery
	2.3 Proposal Instructions
	2.4 Proposal Conditions
	2.4.1 Confidentiality and Ownership of Information
	2.4.2 No Claims by Applicant
	2.4.3 Conflict of Interest
	2.5 Questions and Clarification
	2.6 Ineligibility of Proposals
	2.7 Acceptance of Proposal
	2.8 Agreement
	2.9 Estimated Time Frames
SECTION 3	GENERAL TERMS AND CONDITIONS13
SECTION 4	RESPONSE REQUIREMENTS.....14
SECTION 5	EVALUATION PROCESS AND CRITERIA.....16
	5.1 Evaluation
	5.2 Selection
	5.3 Presentation of Proposals
	5.4 Clarification
	5.5 Notice of Proposal Results
Appendix “A”:	Standard Form Agreement
Appendix “B”:	Guidelines for Home Assessment Report
Appendix “C”:	OT Home Assessment Referral Form

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

SECTION 1 – OVERVIEW AND SCOPE OF SERVICES

1.1 Request for Proposal Summary

The Workplace Health, Safety and Compensation Commission (“WorkplaceNL”) is inviting prospective Applicants to submit proposals for Home Assessment Services of Injured Workers.

WorkplaceNL reserves the right to contract with one provider of services for all regions or to contract with separate providers, as required, to provide the required services. The term of the agreement will be for a period of three (3) years. WorkplaceNL will, in its sole discretion, have an option to extend the agreement for an additional term of two (2) years on the same terms and conditions. Should needs be identified or conditions change during the original agreement, the needs and/or conditions may be addressed in the Extension Agreement but pricing for the items identified in the original agreement will remain firm.

If you are interested in providing this service for WorkplaceNL, please submit your completed proposal before the RFP Closing Date. Please review the enclosed documents for complete instructions and an explanation of the process.

1.2 Glossary of Terms

The following terms will apply to this document:

Applicant(s):	An individual, group of individuals or a company that submits, or intends to submit, a proposal in response to this document.
RFP:	Request for Proposal which includes the original Request for Proposals and any and all addenda.
Must/ Shall:	A requirement that is mandatory and if not met will result in rejection of the proposal.
Services:	Includes but is not limited to, Home Assessments or Follow Up Home Assessment performed by a licensed Occupational Therapist.
Injured Worker:	An individual who is entitled to compensation under the <i>Workplace Health Safety and Compensation Act</i> (the “Act”).

1.3 Intent

The purpose of this RFP is to secure qualified providers of Home Assessment Services to provide these services to Injured Workers for the purpose of assessing the Injured

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

Workers' abilities and limitations in relation to the compensable injury(s) identified with the referral and provide recommendations to address these issues which include assistive devices, personal care and/or the need for home modifications.

1.4 Background

WorkplaceNL provides services to employers, injured workers and their dependents, and the public through the administration of the Workplace Health, Safety and Compensation Act (the Act). These services include promoting workplace health and safety in order to prevent and reduce workplace injuries and occupational disease. WorkplaceNL also works to ensure injured workers receive the best care possible and the benefits to which they are entitled; and facilitates recovery and return-to-work in an early and safe manner. In addition, WorkplaceNL administers an employer classification and assessment system, and must ensure adequate funding for services through sound financial management.

WorkplaceNL is organized along four main functional areas: 1) worker services; 2) employer services; 3) corporate services; and 4) financial services. These functional areas support the organization's three main lines of business:

1. Education on the prevention of workplace injuries, illnesses, and occupational disease;
2. Claims management for injured workers; and
3. Employer assessments (insurance coverage).

1.5 Scope of Work

WorkplaceNL wishes to establish a formal contractual relationship with qualified Applicants to provide Home Assessments of Injured Workers.

Consistency in the provision of services is necessary to ensure all Injured Workers receive the same level of quality service and that such service adheres to the Workplace Health, Safety and Compensation Act and Regulations, and WorkplaceNL's Policies and Procedures.

The objectives in establishing a formal contractual relationship with successful Applicants are:

- i) To ensure that the home assessment services will be of high quality, cost-effective and consistently provided in accordance with the policies of WorkplaceNL.
- ii) To explicitly assess the Injured Worker's abilities and limitations in relation to the compensable injury(s) identified with the referral and provide

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

recommendations to address these issues which include assistive devices, personal care and/or the need for home modifications.

- iii) To ensure that any recommendations in relation to personal care needs, assistive devices and/or home modifications will be based on objective, evidence-based rationale related to the compensable injury(s) gathered in the assessment process and/or easily extrapolated through the reporting process.
- iv) To ensure that recommendations, if requested, consider the least invasive and cost effective method to improve the Injured Worker's current and future functional needs related to the compensable injury(s). Recommendations must also take into consideration the limitations of WorkplaceNL's responsibility especially when it comes to maintenance/repair of an Injured Worker's dwelling or adherence to the requirements of the National Building Code of Canada.

1.6 Home Assessment Referral Process:

WorkplaceNL will send a written referral to the successful Applicant, including copies of the relevant medical information, and a Purchase Order.

1.7 Home Assessment Reporting:

The successful Applicant(s) will be required to use the Guidelines for Home Assessment Report (see Appendix "B") and address the specific issue noted on the OT Home Assessment Referral form (see Appendix "C").

Upon receipt of the referral, the occupational therapist should contact the referral agent to confirm receipt of the referral, the expected assessment appointment date and ensure any sensitive issues regarding the referral are addressed **prior** to the assessment.

The occupational therapist should contact the Injured Worker, or identified contact person, following receipt of the referral to initiate the process of arranging the Home Assessment, to confirm the exact street address of the Injured Worker and ensure that the assessment is scheduled in accordance with the priority ranking indicated on the referral form (see appendix "C"). Upon visiting the Injured Worker's home, the occupational therapist must first confirm the Injured Worker's name prior to proceeding with the assessment and obtain relevant consents.

The referral agent should be notified of the confirmed date and time of the assessment, any change in the assessment appointment or if the assessment cannot be arranged within the pre-determined time frame indicated on the referral form.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

The Home Assessment report will consist of:

- Introduction plus referral questions and/or purpose of referral.
- Summary of compensable injury(s) and issues identified based on the medical documentation provided.
- Subjective reports of functional abilities and limitations in the home and coping strategies to address same.
- Description of the physical home environment and social support system in the home. This will include provision of measurements specific to areas where installation of equipment or home modifications are being discussed or recommended.
- Objective assessment of the Injured Worker's functional abilities affected by the compensable injury including physical, cognitive and psycho-social issues.
- Recommendations to address the issues identified in the assessment.
- Digital pictures of the home environment in locations where assistive devices are to be installed or a modification to the home environment is recommended or being considered. The process for the submission of digital pictures will be confirmed with the successful Applicant(s).

If requested, a verbal summary of the recommendations should be provided to the referral agent following completion of the Home Assessment.

If issues are identified that do not appear to be associated with the workplace injury, these can be documented in the report but clearly identified as unrelated.

If clarification on the limitations of WorkplaceNL coverage is required, the occupational therapist should contact the referral agent **prior** to including these recommendation(s) in the home assessment report.

The Home Assessment report is to be forwarded to WorkplaceNL within **one** week of the Home Assessment.

1.8 Service Regions

Home Assessment Services will be structured around the geographical regions noted below. Applicants may submit a proposal for one or more than one geographic region.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

Region	Geographic Description
Avalon Peninsula North	St. John's, Conception Bay North
Avalon Peninsula South	Ferryland, St. Mary's, Argentia
Bonavista Peninsula	Bonavista Peninsula
Burin Peninsula	Burin Peninsula
Clarenville	Clarenville, Bonavista South
Gander	Gander, Bonavista North
Grand Falls-Windsor A	Grand Falls-Windsor, Botwood
Grand Falls-Windsor B	Springdale, Baie Verte
Labrador	Labrador
Northern Peninsula	Deer Lake, Northern Peninsula
Trinity Conception	Trinity Conception
Western A	Corner Brook, Stephenville
Western B	Port aux Basques

1.9 Volume of Referrals

WorkplaceNL is unable to guarantee the number of referrals that will be generated annually. The table below summarizes the home assessment referrals between August 1, 2016 and July 31, 2019.

Region	2016	2017	2018	2019
Avalon Peninsula North	11	16	24	20
Avalon Peninsula South	1	0	2	0
Bonavista Peninsula & Clarenville	4	1	3	0
Burin Peninsula	3	9	6	5
Central	7	10	13	10
Labrador	0	0	0	1
Northern Peninsula	3	0	1	1
Trinity Conception	5	11	15	15
Western	6	9	8	7
Total	40	56	72	59

1.10 Mandatory Criteria

The successful Applicant(s) must meet the mandatory criteria and possess the necessary knowledge, skills and experience to supply the required service. The successful Applicant(s) must supply qualified occupational therapists who meet the following mandatory criteria:

- Occupational therapists who are members in good standing with the Newfoundland and Labrador Occupational Therapy Board; and

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

- Occupational therapists who have experience in the area of Home Assessments and experience working with the injured worker populations and possess a minimum of 5 years' experience in providing services of a similar scope and complexity.

1.11 Fee Structure

Each Applicant must propose an hourly rate for the services of performing Home Assessments, which includes performing the assessment and reporting as per Appendix "B" Guidelines for Home Assessment Report. An illustration or justification of the hourly rate based on the experience and expertise of the Applicant(s) must be provided. Costs associated with implementation of the recommendations, if requested by WorkplaceNL, arising out of the Home Assessment and any associated travel cost will be paid by WorkplaceNL upon prior approval. Travel reimbursement rates approved by WorkplaceNL are outlined in Schedule "B", Standard Form Agreement.

SECTION 2 – INSTRUCTIONS TO APPLICANT
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2.1 Electronic Copy of this Document

WorkplaceNL reserves the right to modify the terms of the RFP by issuance of addenda at any time prior to RFP Closing Date.

It is the responsibility of the Applicants who retrieve or download this RFP from WorkplaceNL's website (<http://www.workplacenl.ca>) to ensure they monitor this site for any addendum to the RFP issued up to and including the RFP Closing Date.

It is the Applicant's responsibility to ensure that they have received a complete set of documents. By submitting a proposal, the Applicant verifies that they have received a complete set of RFP documents including any and all addenda. All terms, conditions, and/or specifications stated or referenced in the RFP are assumed to be accepted by the Applicant and incorporated in the proposal.

By submitting a proposal, the Applicant confirms that all components necessary to deliver the required services have been included in the proposal, or will be provided at no additional charge to WorkplaceNL.

Applicants who have obtained the RFP electronically must not alter any portion of the document, with the exception of applying any addendum issued by WorkplaceNL.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

2.2 RFP Closing and Delivery

RFP CLOSING DATE IS:

DECEMBER 9, 2019 BEFORE 2:00 PM NEWFOUNDLAND TIME

Applicants are required to deliver and ensure proposals are received at:

INFORMATION DESK (Main Entrance)

WorkplaceNL

146-148 Forest Road

P.O. Box 9000

St. John's, NL A1A 3B8

Fax Proposals: Will NOT be accepted.

Email Proposals: Will NOT be accepted.

In the event that WorkplaceNL's St. John's office is closed due to poor weather conditions or other unscheduled closure, the RFP Closing Date will be extended to the next business day before 2:00 p.m. Newfoundland Time.

Proposals will be opened publicly immediately following the RFP closing at WorkplaceNL's St. John's office at 146-148 Forest Road, in accordance with the Public Procurement Regulations, as amended.

2.3 Proposal Instructions

All proposals must be legible and complete and supply all information required in SECTION 4: RESPONSE REQUIREMENTS. Applicants are not required to return any sections of this document in their proposal.

All proposals must be received in their entirety by the RFP Closing Date. Proposals or any portion thereof, received after the RFP Closing Date will be returned to the Applicant unopened. WorkplaceNL time clock will be deemed to be correct in the event of dispute.

RFP Proposals must be in an opaque envelope clearly marked with **Applicant's name and mailing address** and the following information.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

**Request for Proposal 2019-20-P
Home Assessment Services of Injured Workers
Attention: Pamela Meaney, Buyer
WorkplaceNL
146-148 Forest Road
P.O. Box 9000
St. John's, NL A1A 3B8**

If an exterior courier envelope/container is used this must also be clearly marked with the Applicant's mailing address, proposal number and name and shall be addressed to the Buyer.

When delivering their proposal, Applicants are requested to remit 4 (four) COPIES of their proposal. The complete proposal is to be contained in a sealed opaque envelope or package when delivered to WorkplaceNL.

Proposals must be signed by the Applicant or an authorized representative of the Applicant. If the Applicant is a corporation, the proposal must be signed by the authorized signing officer of the corporation submitting the proposal.

Applicants are solely responsible for the delivery of their proposal in the manner and time prescribed.

Any error in the proposal is the responsibility of the Applicant. Submitted proposals may be amended prior to the RFP Closing Date by submitting a complete new proposal clearly indicating it replaces the previously submitted proposal. Any such new proposal is subject to the requirements as outlined herein. The said new proposal replaces any other proposals previously submitted by the Applicant, and only the last of any new proposals received will be accepted.

Any proposal received in response to a RFP may be withdrawn by written request to WorkplaceNL, Pamela Meaney, Buyer, Finance, but cannot be withdrawn, altered or changed in any way after the RFP Closing Date.

All requests for withdrawal, amendment or submission of a replacement proposal must be submitted in writing on company letterhead or equivalent, and be signed by the Applicant, an authorized representative of the Applicant or, if a corporation, an authorized signing officer of the corporation.

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

While WorkplaceNL has tried to ensure the accuracy of the RFP, it is not guaranteed or warranted by WorkplaceNL to be accurate, nor is it necessarily comprehensive or exhaustive. WorkplaceNL will assume that all Applicants have resolved any questions they might have about the RFP and have informed themselves as to the existing conditions and limitations, site restrictions, etc. before submitting their proposals. Nothing in the invitation is intended to relieve Applicants from forming their own opinions and conclusions with respect to the matters addressed in the RFP.

Unless stated otherwise in the RFP, proposals shall remain open for acceptance and are irrevocable for a period of 90 days after the RFP Closing Date.

2.4 Proposal Conditions

2.4.1 Confidentiality and Ownership of Information

Information pertaining to WorkplaceNL obtained by the Applicant as a result of participation in this RFP, and/or subsequent interviews, presentations, and/or negotiations with WorkplaceNL, if any, is confidential and shall not be disclosed without prior written authorization from WorkplaceNL.

The RFP and any supplementary document or portion thereof is proprietary information, and must not be used by the Applicant other than for the submission of proposals without the permission of WorkplaceNL.

All proposals submitted become the property of WorkplaceNL. By submitting a proposal, the Applicant hereby grants WorkplaceNL a license to distribute, copy, print, or translate the proposal for the purposes of the RFP. Any attempt to limit WorkplaceNL's right in this area may result in rejection of the proposal.

The procurement process is subject to the *Access to Information and Protection of Privacy Act, 2015*, S.N.L 2015 c. A-1.2, as amended (*ATIPPA*). The Applicant's proposal may be subject to disclosure under the *ATIPPA*. By submitting a proposal, the Applicant agrees to the appropriate disclosure of the information supplied, subject to the provisions of the governing law. WorkplaceNL cannot guarantee the confidentiality of the content of any proposal after the RFP Closing Date. WorkplaceNL shall not be liable to any Applicant for any claim, direct or indirect, whether for costs, expenses, losses or damages, or loss of anticipated profits, or for any other matter whatsoever incurred by the Applicant as a result of disclosure pursuant to the *Access to Information and Protection of Privacy Act, 2015*, S.N.L. 2015, c. A-1.2, as amended.

By submitting a proposal, the Applicant agrees that it has identified any specific information in its proposal that may qualify for an exemption from disclosure under subsection 39(1) of the *ATIPPA*. If no specific information has been identified it is assumed that, in the opinion of the Applicant, there is no specific information that

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

qualifies for an exemption under subsection 39(1) of the *ATIPPA* and information may be released without further notice.

The financial value of a contract resulting from this procurement process will be publically released as part of the award notification process.

2.4.2 No Claims By Applicant

By participating in the process outlined in this RFP document, the Applicant consents to the procedures as described in this RFP.

By submitting a proposal, each Applicant irrevocably waives any claim, action or proceeding against WorkplaceNL, including without limitation any judicial review or injunction application, or against any of WorkplaceNL's employees, advisors or representatives for damages, expenses or costs including costs of proposal preparation, loss of profits, loss of opportunity or any consequential loss for any reason including: any actual or alleged unfairness on the part of WorkplaceNL at any stage of the procurement process; if WorkplaceNL does not award or execute a contract; or, if WorkplaceNL is subsequently determined to have accepted a noncompliant proposal or otherwise breached or fundamentally breached the terms of this procurement.

2.4.3 Conflict of Interest

Each Applicant must include in its proposal a confirmation that the Applicant, its employees, officers, associates and/or approved subcontractors do not and will not have any conflict of interest (actual or potential) in submitting its Proposal or, if selected, in providing services under the agreement. Where applicable, the Applicant must declare in its proposal any situation that may be a conflict of interest in submitting its proposal or, if selected, with the contractual obligations of the Applicant under the agreement.

If, at the sole and absolute discretion of WorkplaceNL, the Applicant, its employees, officers, associates and/or approved subcontractors is found by WorkplaceNL to be in an actual or perceived conflict of interest either during the RFP or after award of the contract, WorkplaceNL may, in addition to any other remedies available at law or in equity, disqualify the proposal submitted by the Applicant or terminate the agreement.

Sub-contracting to any firm or individual whose current or past corporate or other interests may, in WorkplaceNL's opinion, give rise to an actual or perceived conflict of interest in connection with this RFP will not be permitted. This includes, but is not limited to, any firm or individual involved in the preparation of the RFP.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

2.5 Questions and Clarification

Any questions related to the RFP must be directed in writing by either facsimile or email to the Buyer whose name appears below and received by WorkplaceNL before 3:00 PM Newfoundland time on November 26, 2019.

<p>PROCESS INQUIRIES: Pamela Meaney, Buyer Fax #: 709.778.1596 Email: pamela.meaney@workplacenl.ca</p>
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All questions should include the Applicant's name and address, contact person's name, telephone number, fax number and email address, and the reference to the specific section and page number of the RFP in question.

To the extent that WorkplaceNL considers that the answer to the question may assist in the preparation of a proposal, WorkplaceNL will post an addendum on its website which will be part of the RFP. WorkplaceNL may not answer a question where WorkplaceNL considers the information requested is not required to prepare a proposal, or where the answer to the question posed may be found in the RFP. No responses shall be binding upon WorkplaceNL unless made in writing.

WorkplaceNL may request additional data, discussions, presentations or on-site visits in support of the proposal, all without obligation to provide other RFP recipients with similar information or notice of such communication. Additionally, WorkplaceNL reserves the rights to implement the appropriate due diligence processes to confirm or clarify any information provided, or to collect more evidence of managerial, financial and technical abilities, including but not limited to, meetings and visits with current customers served by the Applicant.

Any written information received by WorkplaceNL from an Applicant in response to a request for additional data from WorkplaceNL will be considered an integral part of the Applicant's proposal.

2.6 Ineligibility of Proposals

It is essential that the proposal thoroughly addresses and completes each requirement identified in the RFP, as incomplete proposals may be declared "non-responsive". Proposals that are improperly signed, conditional, illegible, obscure, or contain arithmetical errors, erasures, alterations, or irregularities of any kind may be considered invalid.

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

2.7 Acceptance of Proposal

WorkplaceNL reserves the right, as the interests of WorkplaceNL may require, to accept or reject in whole or in part any or all proposals. WorkplaceNL reserves the right to waive any minor irregularity or non-compliance where such irregularity or non-compliance is not of a material nature in its sole and absolute discretion. Such minor irregularity or non-compliance will be deemed substantial compliance and capable of acceptance. WorkplaceNL will be the sole judge of whether a proposal is accepted or rejected.

WorkplaceNL, in its sole discretion, reserves the right to cancel the RFP without award. WorkplaceNL is not bound to award a contract to any Applicant. The awarding of the contract(s) (if any) shall be at WorkplaceNL's sole discretion.

WorkplaceNL reserves the right to reject all proposals, to select a proposal that is not the highest score bid, and to refuse any proposal that does not meet the information or timing requirements of this RFP.

If WorkplaceNL does not receive compliant and acceptable proposals in response to the RFP, WorkplaceNL reserves the right to enter into negotiations with one or more of the Applicants or with any other party in order to complete the procurement of services.

A proposal may not be eligible for acceptance if current or past corporate or other interests of the Applicant or the Applicant's key personnel may, in WorkplaceNL's opinion, give rise to a conflict of interest.

WorkplaceNL reserves the right to disqualify any Applicant if the Applicant or the Applicant's key personnel have either breached an agreement and/or failed to provide satisfactory service and/or deliveries under any prior agreement with WorkplaceNL, in the sole opinion of WorkplaceNL.

The Applicant may be required to demonstrate financial stability, authorization to provide the goods and/or services being acquired, and/or regulatory agency approval, licensing or registration as needed, or otherwise clarify the Applicant's capability to satisfy the RFP requirements. WorkplaceNL reserves the right to reject a proposal from any Applicant that it feels is incapable of providing the necessary labour, materials, equipment, financing, or resources to perform the services or supply the goods in a satisfactory and timely manner.

2.8 Agreement

WorkplaceNL shall not be obligated to any Applicant until a written agreement has been duly executed related to an approved proposal. Any awards made pursuant to this RFP process are subject to execution of a written agreement which is acceptable to WorkplaceNL.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

To be eligible to provide services to WorkplaceNL, the successful Applicant shall execute a written agreement with WorkplaceNL to perform the services which is acceptable to WorkplaceNL with terms and conditions as outlined in the standard form agreement in Appendix "A" within the time frame established by WorkplaceNL. The successful Applicant shall be responsible for compliance with the terms and conditions outlined in the Standard Form Agreement in Appendix "A".

Claims made by the Applicant in the proposal will constitute contractual warranties. Any provision in the proposal may, in WorkplaceNL's sole discretion, be included as a provision of the agreement between WorkplaceNL and the successful Applicant. In the case of conflict between the written agreement between WorkplaceNL and the successful Applicant and the RFP and proposal, the terms of the said written agreement shall prevail.

2.9 Estimated Time Frames

The following timetable outlines the estimated schedule for this RFP process. The timing and the sequence of events resulting from this document may vary.

DESCRIPTION	DATE
RFP issue date	NOV 12, 2019
Deadline for questions	NOV 26, 2019
Proposals to be received by	DEC 9, 2019
Presentation of proposals (tentative - if required)	DEC 18, 2019
Date of award (tentative)	DEC 23, 2019

SECTION 3 – GENERAL TERMS AND CONDITIONS

- 3.1** The successful Applicant(s) is required to meet WorkplaceNL's billing and accounting requirements. Progress billing should be submitted to WorkplaceNL every 30 days or other frequency as agreed to between WorkplaceNL and the successful Applicant(s). Invoices must be legible and clearly labeled with the Applicant's invoice number.
- 3.2** This RFP, all proposals, and any agreements will be construed and interpreted in accordance with the laws of the Province of Newfoundland and Labrador.
- 3.3** The Applicant shall not use WorkplaceNL's name or logo or make reference to this RFP in any advertising copy or other promotional materials or messages without WorkplaceNL's prior written consent.
- 3.4** WorkplaceNL cannot guarantee the volume of referrals it will provide to any successful Applicant. The successful Applicant(s) will be allocated referrals only on an "as required" basis. WorkplaceNL will not be bound by any estimated quantities of referrals.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

SECTION 4 – RESPONSE REQUIREMENTS
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It is important that Applicants provide complete information with their proposal so it can be readily understood and evaluated. The following minimum information and format must be provided in the proposal. A proposal will be considered non-compliant if it fails to provide sufficient detail necessary to evaluate the proposal against the requirements outlined in this RFP.

All proposals must address the content of the RFP. Qualifying proposals are those that clearly demonstrate a thorough understanding of the RFP, and its stated requirements and criteria. WorkplaceNL may disqualify proposals that do not demonstrate this understanding and do not specifically address requirements and criteria as specified throughout.

Response Format

Your response should be provided in the same sequence of topics as below.

Cover Letter

Identify the RFP description. Identify your name and your company's name (if applicable), address, telephone number, fax number and email address. Identify the representative responsible for your proposal. The letter must confirm that you and your company (if applicable) are in compliance with the *Personal Information Protection and Electronic Documents Act*, SC 2000, c.5, and Regulations thereto, as amended. The letter must be dated and signed.

Table of Contents

List all topics and associated pages for easy reference.

Company Profile & Background

If the Applicant is a company, provide a brief introduction and an overview of your company's background and profile, including information on full services offered.

Ownership

If the Applicant is a company, provide names of all owners, including silent partnerships, affiliated relationships and/or companies, governing boards, etc.

Conflict of Interest

Each Applicant must include in its proposal a confirmation that the Applicant, its employees, officers, associates and/or approved subcontractors do not and will not have any conflict of interest (actual or potential) in submitting its Proposal or, if selected, in providing

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

services under the agreement. Where applicable, the Applicant must declare in its proposal any situation that may be a conflict of interest in submitting its proposal or, if selected, with the contractual obligations of the Applicant as supplier under the agreement.

Privacy/Confidentiality

Provide company policies, practices and tools for protecting privacy, confidentiality and security of information.

Overview

The Applicant must provide a clear and concise description of the Applicant's understanding of the service, their role in the service, and an overview of how the Applicant will meet the service requirements.

Professional Staff Complement

Provide a description of the professional staff and resources available. Identify the number, availability, and roles of individuals intended to be assigned to the project and describe how they meet the mandatory criteria as outlined in Section 1 - Overview and Scope of Services above.

Professional Staff Resumes

Provide a resume of not more than three pages outlining education and work experience for each professional staff member to be involved in the provision of services.

Value-added service

Provide any additional information that would enhance your ability to provide the service, e.g. resources, specialized personnel, affiliations, etc.

Geographical location

Indicate the city/town from which you propose to conduct the major activities of this work and the geographic region(s) in which you will provide Services. If Services can be provided in more than one geographic region, please indicate if travel will be an extra cost, and for which regions(s). Please also indicate the office from where the regions will be serviced.

Quality Assurance

Describe your quality assurance program and how it influences your service delivery. Describe how you would monitor the quality of Home Assessments and Home Assessment Reports and how you plan to achieve the agreed-upon timelines and outputs.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

Previous Experience

Describe your organization's experience in completing Home Assessments and treating serious injuries. Provide a list with a minimum of **three (3)** references with appropriate contact information who can provide evidence of previous experience providing similar services. References may be for contracts currently being performed or where work has been completed. References may be contacted as part of the review process. The list of references should exclude any potential references from WorkplaceNL.

The following information should be addressed in your response and will be evaluated in accordance with the scoring formula established for the rated requirements in this RFP:

- Provide 2 sample reports following the Home Assessment Guideline in Appendix "B". One report should include a recommendation for personal care and equipment and one report with a recommendation for a home modification.

Timelines, Schedules & Fees

The Applicant must outline the fees on an hourly basis for the provision of services, which includes performing the assessment and reporting as per Appendix "B" Guidelines for Home Assessment.

- An illustration or justification of the hourly rate based on the experience and expertise of the Applicant(s) must be provided.
- The H.S.T. amount should be identified as a separate budget item; and
- All fees must be quoted in Canadian dollars.

Brochures

Include any brochure(s), company information and/or the company website address at the back of your proposal.

SECTION 5 – EVALUATION PROCESS AND CRITERIA
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5.1 Evaluation

The proposals will be evaluated by WorkplaceNL's Manager, Claims Services, Occupational Therapy Consultant and Manager(s) or Team Leads from various

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

departments, and representative(s) of the Finance Department, who will use the criteria provided in this section to determine the successful Applicant(s).

WorkplaceNL, in its sole discretion, may assess the Applicant(s)' experience and/or ability to provide the services required and described in this RFP by checking the Applicant(s)' references. A contract will not be awarded to any Applicant whose references, in the opinion of WorkplaceNL, are found to be unsatisfactory. WorkplaceNL reserves the right to obtain references from sources other than those provided in the proposal. WorkplaceNL reserves the sole discretion to determine whether the Applicant and its professional staff have the appropriate qualifications.

The intent is to enter into an agreement with the Applicant that achieves the highest overall score, or with more than one Applicant, that achieves the next highest scores, as determined by WorkplaceNL, while fulfilling the requirements outlined in this RFP, which meets the needs and best interests of WorkplaceNL.

5.2 Selection

The evaluation committee will evaluate all proposals against the mandatory criteria as stated in Section 1. Proposals that do not meet all mandatory criteria will be rejected on that basis.

The following criteria will be used to score proposals for both the short list and in the final selection:

Criteria	%
Qualifications and experience completing home assessments and treating serious injuries	20%
Sample reports	35%
Policies, practices and tools for protecting the privacy, confidentiality and security of information collected	10%
Company profile, quality assurance and value added	10%
Fees	25%
Total	100%

In the event that the selected Proposal(s) results in a higher cost than the budget approved by WorkplaceNL's Board of Directors, any awards made pursuant to this RFP process are subject to approval of the Board of Directors. In addition to any other rights of cancellation contained herein, WorkplaceNL, in its sole discretion, reserves the right to cancel this RFP without award should the Board of Directors not approve the award.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

5.3 Presentation of Proposals

Subsequent to evaluating the proposals, WorkplaceNL may, in its sole discretion, select Applicants to meet with WorkplaceNL evaluation team. This meeting may include both a presentation by the Applicant and/or a question and answer session in support of, and/or to clarify questions arising from the Applicant's proposal. A maximum preparation period of one week will be given to prepare for the presentation. Applicants will be responsible for all expenses incurred in preparing and delivering the presentation.

5.4 Clarification

The committee may require clarification from Applicants to assist in making its evaluation.

5.5 Notice of Proposal Results

WorkplaceNL will notify both the successful and unsuccessful Applicants in writing. Unsuccessful Applicants will be notified following the award to the successful Applicant(s).

If the selected Applicant(s) becomes unable or unwilling to complete the agreement, or becomes unable to provide the required services, WorkplaceNL may enter negotiations with one or more of the Applicants or with any other party in order to obtain the service.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

**APPENDIX “A”
STANDARD FORM AGREEMENT**

THIS AGREEMENT made in the Province of Newfoundland and Labrador, this
day of _____, 2019.

BETWEEN: **WORKPLACE HEALTH, SAFETY AND COMPENSATION
COMMISSION**, a statutory corporation established under the
Workplace Health, Safety and Compensation Act, RSNL
1990, c. W-11, as amended (the “Act”)

(hereinafter called "WorkplaceNL")

OF THE FIRST PART

AND: **<ENTER CONTRACTOR'S NAME>**

(hereinafter called the "Contractor")

OF THE SECOND PART

(hereinafter jointly referred to as the “Parties”)

WHEREAS WorkplaceNL wishes to retain the Contractor for the purpose of
providing certain home assessment services and the Contractor agrees to
provide those services upon the terms and conditions provided herein;

AND WHEREAS WorkplaceNL and the Contractor recognize the importance of
providing quality, cost-effective home assessments for injured workers and the
necessity of consistency in the provision of these services to ensure that all
injured workers receive the same level of quality service and that such service
adheres to the *Act* and regulations, and WorkplaceNL’s Policies and Procedures;

NOW THEREFORE THIS AGREEMENT WITNESSES that in consideration of
the mutual covenants and agreements herein contained, the Parties hereto
covenant and agree with each other as follows:

1.0 Services

- 1.1 WorkplaceNL shall engage the Contractor to provide and the Contractor shall provide to WorkplaceNL the certain services listed in Schedule “A” (the “Services”) (which is attached hereto and forms part and parcel of the within Agreement). The Parties shall observe their respective obligations as specified in the within Agreement.
- 1.2 The WorkplaceNL Standard Terms and Conditions with the Declaration of Confidentiality/Conflict of Interest and the WorkplaceNL Confidentiality Schedule are attached hereto and form part and parcel of the within Agreement.
- 1.3 The Contractor shall not solicit services from an Injured Worker. The Contractor acknowledges that all Services shall be initiated by either the Injured Worker or WorkplaceNL. In the event that the Contractor is approached by a potential client who has not yet initiated a claim, the Contractor must inform the potential client to contact WorkplaceNL. The Contractor shall not make specific comments about claim entitlement.
- 1.4 The Contractor agrees not to communicate with Injured Workers in any manner with respect to WorkplaceNL’s policies, procedures, and practices, except as expressly put forth in this Agreement. The Contractor agrees to refer Injured Workers who have questions or concerns beyond the scope of this Agreement to WorkplaceNL for information.

2.0 Payment & Reports

- 2.1 The Contractor shall invoice WorkplaceNL on a monthly basis in accordance with Schedule “B” which is attached hereto and forms part and parcel of the within Agreement.

- 2.2 As requested by WorkplaceNL, the Contractor shall furnish written reports providing details of Services provided under the Agreement and its progress in a format as specified by WorkplaceNL.

3.0 Term

- 3.1 This Agreement is for a three year period commencing on <insert date> and ending on <insert date> unless terminated prior to this date in accordance with Article 23 of the WorkplaceNL Standard Terms and Conditions.
- 3.2 WorkplaceNL, at its sole discretion, has the right to renew the Agreement for a further two year term on the same terms and conditions. Should WorkplaceNL identify needs or if conditions change during the initial term of this Agreement, the said needs and/or conditions may be addressed in an Extension Agreement but pricing for the Services herein will remain firm.

4.0 Injured Workers

- 4.1 Where WorkplaceNL has evidence regarding the compromised safety of an Injured Worker related to Services provided by the Contractor, WorkplaceNL or a representative of WorkplaceNL shall immediately notify the Contractor of same.
- 4.2 The Contractor will immediately investigate and provide written documentation to WorkplaceNL for any injury to an Injured Worker or persistent symptoms secondary to Services outside the normal response expected from that Service, occurring during or resulting from the Service,

notwithstanding the reporting requirements of the Contractor's professional liability carrier.

- 4.3 The Contractor shall immediately provide notice to WorkplaceNL of any agreement with an employer or other agency to provide any other service in relation to an Injured Worker for whom they are providing Services.

5.0 Termination

- 5.1 Where this Agreement is terminated, either by mutual consent or otherwise, the Contractor shall, without any further fee or cost whatsoever to WorkplaceNL:
- (a) upon the effective date of termination of this Agreement cause all Services being provided to Injured Workers under this Agreement to be discontinued, unless WorkplaceNL has provided its express, written consent to the continuation of Services;
 - (b) immediately allow WorkplaceNL full access to the Contractor's premises, records, and files;
 - (c) within three (3) working days of receiving the notice of termination, provide WorkplaceNL with a list of all Injured Workers who were scheduled for Services by the Contractor at the date of notice of termination;
 - (d) within five (5) working days of receiving the notice of termination, provide WorkplaceNL with all existing reports which as of the date of the notice of termination had not been forwarded to WorkplaceNL;
 - (e) within seven (7) working days of receiving notice of termination, provide copies of all records and files for workers who were scheduled for Services at the date of the notice of termination;
 - (f) within ten (10) working days, provide a final report/discharge statement for each Injured Worker who received Services by the effective date of Termination; and

- (g) within thirty (30) working days of the effective date of termination provide all final invoices for Services completed to the date of termination pursuant to this Agreement. The obligations of WorkplaceNL to make payment to the Contractor shall continue for Services performed up to and including the date of termination but do not continue beyond that time period.

6.0 General

- 6.1 All schedules and appendices attached hereto form part of this Agreement.
- 6.2 Any unresolved disputes to this Agreement shall be referred to the arbitration of a single arbitrator, if the Parties agree upon one. If the Parties fail to reach agreement on a single arbitrator the dispute shall be submitted to the arbitration of three arbitrators, one to be nominated by each party and the third to be appointed by the two arbitrators nominated. The decision of any two of the arbitrators shall be binding. The cost of arbitration shall be borne equally by the Parties. The **Arbitration Act**, RSNL 1990 c. A-14, as amended, shall be applicable to any arbitrations in relation to the within Agreement.
- 6.3 The Parties will from time to time execute and deliver all such further documents and do all acts and things as the party may reasonably require to effectively carry out or better evidence or perfect the full intent and meaning of this Agreement.
- 6.4 The following clauses and articles shall survive the termination of this Agreement, however caused:
- 2.0 Payment & Reports
 - 5.0 Termination
 - 6.0 General

- 7.5 WorkplaceNL hereby designates the Director, Entitlement and Extended Claims or another who the Director may appoint from time to time, to administer this Agreement.
- 7.6 For the purposes of day-to-day contact throughout the term of this Agreement, the Contractor shall deal through the Manager, Claims Services, or another who the Director may appoint from time to time.
- 7.7 The signatories of this Agreement hereby personally warrant that they have the full power and authority to enter into this Agreement on behalf of their respective principals and that the person signing this Agreement on behalf of each has been properly authorized and empowered. Each party further acknowledges that it has read this Agreement, understands, and is bound by it.
- 7.8 Any notice required to be given by either party under this Agreement, unless otherwise specified herein, shall be effectively given and deemed to have been received as follows:
- (a) if delivered personally, on the day of delivery;
 - (b) if sent by ordinary, certified or registered mail, on the seventh day after mailing; and,
 - (c) if faxed to the other party on the next business day.

In the event of an actual or threatened postal strike or interruption, service shall be by personal delivery or fax only. The names and addresses of the authorized representatives of the Parties are as follows:

to WorkplaceNL:	Director, Entitlement and Extended Claims
	WorkplaceNL
	146-148 Forest Road
	P.O. Box 9000

St. John's, NL A1A 3B8
 Tel: 709.778.1548
 Fax: 709.778.1280

to the Contractor:

< >
 < >
 Tel: 709< >
 Fax: 709< >

IN WITNESS WHEREOF WorkplaceNL and the Contractor have caused this Agreement to be executed by their respective officers duly authorized in that behalf on the dates hereinafter set forth.

SIGNED, SEALED, AND DELIVERED
 by the Workplace Health, Safety
 and Compensation Commission
 in the presence of:

**WORKPLACE HEALTH, SAFETY
 AND COMPENSATION COMMISSION**

 Witness

 Date of Signature

SIGNED, SEALED, AND DELIVERED
 by the Contractor, < >
 in the presence of:

 Witness

 Date of Signature

SCHEDULE “A”

Scope of Work

- A.01 The Contractor shall supply occupational therapist(s) (hereinafter the “Occupational Therapist”) to provide home assessment services for Injured Workers. The Contractor is responsible for ensuring the complete compliance of the Occupational Therapist with all terms and conditions of this Agreement.
- A.02 The Occupational Therapist shall:
- be a member in good standing with the Newfoundland and Labrador Occupational Therapy Board; and
 - have experience in the area of Home Assessments and experience working with the injured worker populations and possess a minimum of 5 years’ experience in providing services of a similar scope and complexity.
- A.03 The Contractor shall:
- ensure that the home assessment services will be of high quality, cost-effective and consistently provided in accordance with the policies of WorkplaceNL.
 - explicitly assess the Injured Worker’s abilities and limitations in relation to the compensable injury(s) identified with the referral and provide recommendations to address these issues which include assistive devices, personal care and/or the need for home modifications.
 - ensure that any recommendations in relation to personal care needs, assistive devices and/or home modifications will be based on objective, evidence-based rationale related to the compensable injury(s) gathered in the assessment process and/or easily extrapolated through the reporting process.
 - ensure that recommendations, if requested, consider the least invasive and cost effective method to improve the Injured Worker’s current and future functional needs related to the compensable injury(s). Recommendations must also take into consideration the limitations of WorkplaceNL’s responsibility especially when it comes to maintenance/repair of an Injured Worker’s dwelling or adherence to the requirements of the National Building Code of Canada.
- A.04 The Contractor will conduct professional practice monitoring with all Occupational Therapists who provide Services under this Agreement. Professional practice monitoring refers to the Contractor observing, checking, or scrutinizing for the purpose of ensuring that the goals and the plan are being followed and accomplished in a safe and appropriate manner, according to the goals of the referral and consistent with the professional standards.

- A.05 The Contractor shall maintain office for home assessments in the following geographical regions: (SELECT ALL THAT APPLY):

Region	Geographic Description
Avalon Peninsula North	St. John's, Conception Bay North
Avalon Peninsula South	Ferryland, St. Mary's, Argentia
Bonavista Peninsula	Bonavista Peninsula
Burin Peninsula	Burin Peninsula
Clareville	Clareville, Bonavista South
Gander	Gander, Bonavista North
Grand Falls-Windsor A	Grand Falls-Windsor, Botwood
Grand Falls-Windsor B	Springdale, Baie Verte
Labrador	Labrador
Northern Peninsula	Deer Lake, Northern Peninsula
Trinity Conception	Trinity Conception
Western A	Corner Brook, Stephenville
Western B	Port aux Basques

- A.06 The Contractor shall provide home assessments to the following geographic regions but will not maintain an office in that region. The Contractor will incur travel costs to travel from <indicate town> to provide services in the following geographical regions: (SELECT ALL THAT APPLY):

Region	Geographic Description
Avalon Peninsula North	St. John's, Conception Bay North
Avalon Peninsula South	Ferryland, St. Mary's, Argentia
Bonavista Peninsula	Bonavista Peninsula
Burin Peninsula	Burin Peninsula
Clareville	Clareville, Bonavista South
Gander	Gander, Bonavista North
Grand Falls-Windsor A	Grand Falls-Windsor, Botwood
Grand Falls-Windsor B	Springdale, Baie Verte
Labrador	Labrador
Northern Peninsula	Deer Lake, Northern Peninsula
Trinity Conception	Trinity Conception
Western A	Corner Brook, Stephenville
Western B	Port aux Basques

- A.07 WorkplaceNL may, from time to time, make a request to the Contractor for a home assessment by forwarding to the Contractor an OT Home Assessment Referral Form in the format which is attached hereto as part and parcel of Schedule "A". This request will constitute a referral for

service. WorkplaceNL will include a copy of all relevant medical information and a purchase order.

- A.08 The Contractor must use the Guidelines for Home Assessment Report which is attached hereto as part and parcel of Schedule "A". The Contractor must address the specific issue noted on the OT Home Assessment Referral Form.
- A.09 Upon receipt of the referral, the Occupational Therapist must contact the referral agent to confirm receipt of the referral, the expected assessment appointment date and ensure any sensitive issues regarding the referral are addressed prior to the assessment.
- A.10 The Occupational Therapist must contact the Injured Worker, or identified contact person, following receipt of the referral to initiate the process of arranging the home assessment, to confirm the exact street address of the injured worker and ensure that the assessment is scheduled in accordance with the priority ranking indicated on the OT Home Assessment Referral Form. Upon visiting the injured worker's home, the Occupational Therapist must first confirm the injured worker's name prior to proceeding with the assessment and obtain relevant consents.
- A.11 The Occupational Therapist must notify the referral agent of the confirmed date and time of the assessment, any change in the assessment appointment or if the assessment cannot be arranged within the pre-determined time frame indicated on the referral form.
- A.12 The Contractor shall advise the injured worker of their rights and responsibilities during the provision of home assessment by the Contractor.
- A.13 The Contractor shall advise the referral agent, verbally and by written report, when there are unresolvable difficulties with the provision of the home assessment to an injured worker.
- A.14 The Home Assessment report will consist of:
- Introduction plus referral questions and/or purpose of referral.
 - Summary of compensable injury(s) and issues identified based on the medical documentation provided.
 - Subjective reports of functional abilities and limitations in the home and coping strategies to address same.
 - Description of the physical home environment and social support system in the home. This will include provision of measurements specific to areas where installation of equipment or home modifications are being discussed or recommended.

- Objective assessment of the injured worker's functional abilities affected by the compensable injury including physical, cognitive and psycho-social issues.
 - Recommendations to address the issues identified in the assessment.
 - Digital pictures of the home environment in locations where assistive devices are to be installed or a modification to the home environment is recommended or being considered. The process for the submission of digital pictures will be confirmed with the Contractor(s).
- A.15 If requested, a verbal summary of the recommendations must be provided to the referral agent following completion of the Home Assessment.
- A.16 If issues are identified that do not appear to be associated with the workplace injury, these can be documented in the report but clearly identified as unrelated.
- A.17 If clarification on the limitations of WorkplaceNL coverage is required, the Occupational Therapist must contact the referral agent prior to including these recommendation(s) in the home assessment report.
- A.18 The Contractor shall only provide the home assessment report to the referral agent, and the referral agent will disseminate the report to the injured worker and any other appropriate parties.
- A.19 The implementation of the recommendations arising from the home assessment is at the discretion of WorkplaceNL.
- A.20 The home assessment report must be forwarded to WorkplaceNL within one week of the home assessment.

OT Home Assessment Referral Form

Home Assessment PO#	Home Assessment Follow-up PO #	Home Assessment Travel PO #
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Client Name		Decision Maker	
Address		Office Location	
		Telephone #	
Telephone #		E-mail Address	
Claim #		Client Region (please √)	Priority of Assessment
Date of Injury		Labrador	() Low (2 weeks)
Date of Birth		Western	() Medium (1 week)
		Northern	() High (ASAP)
To set up the OT Home Assessment please contact:		Grand Falls-Windsor	Please Explain
Name:		Gander/Clareville	
Phone Number:		Burin Peninsula	
		Avalon Region	

1. What question(s) do you want answered from this referral?
2. What prompted this referral?
3. Prior to the assessment please contact: Case Manager Yes() No() OT Consultant Yes() No(), If yes: Name and phone number:
4. Specific area to focus assessment: Equipment/Techniques to increase independence &/or Safety () Mobility () Accessibility () Self Care () Other _____
5. Diagnosis and involved parts of body related to work injury:
6. Known Non-compensable conditions/issues:
7. Prior Home Assessment: Yes() No() Included in the Medical Yes() No()
Comments:

Signature of Decision Maker: _____ Date: _____

Guidelines for Home Assessment Report

Client Name:	
Claim Number	
Date of Injury:	
Referral Source:	
Date of Referral:	
Occupational Therapist:	
Date of Assessment:	
Date of Report:	

1.0 Introduction:

This section should describe the purpose of the referral and detail the referral questions posed.

2.0 Medical:

This section should summarize the workplace injury and issues based on the medical information provided which would impact the Injured Worker's level of functioning in the home.

3.0 Subjective Functional Abilities/Limitations and Coping Strategies:

Describe the worker's perception of their functional abilities and limitations and strategies to address same.

4.0 Home Environment and Support System:

Describe the physical home environment including the type of community, type of dwelling, entrances, exits, terrain around the home, stairs, # rooms and any other relevant information. Measurements should be provided specific to any areas where equipment is to be installed or where modification to the home is recommended. Describe the others who live in the home and the worker's support system.

5.0 Functional Abilities Assessment

Provide details of the objective assessment of Injured Worker's functional abilities affected by the workplace injury including physical, cognitive and psycho-social issues.

[illegible]

6.0 Equipment Recommendations:

Please reference WorkplaceNL's Tendered Health Care Devices list. If items on the list are not suitable or not included, please provide a rationale for the alternate device and the ordering details. Digital photos must be taken of areas where installation of equipment is being recommended.

Item Recommended	Rationale	Ordering Details

7.0 Personal Care Recommendations:

Service	Hours	Frequency
Bathing/Dressing/Grooming		
Meal Preparation		
Feeding		
Toileting		
Bed Mobility		
Mobility inside the home		
Mobility in the community		
Housekeeping (laundry/cleaning)		
Supervision		
Other		
Total Hours		

8.0 Home Modifications:

Digital pictures must be taken of the area(s) where a modification is being recommended.

Modification	Rationale	Comments

9.0 Signature: _____ **Date:** _____

SCHEDULE “B”

- B.01 Provided the Contractor has complied with all the terms, conditions and provisions of this Agreement, upon appropriate invoicing, WorkplaceNL shall pay the Contractor at the rate of < > per hour for Services performed pursuant to this Agreement.
- B.02 The same hourly rate shall be applicable to additional hours approved by WorkplaceNL in accordance with this Agreement.
- B.03 The Contractor shall invoice WorkplaceNL indicating the times, date, and number of hours worked. The Contractor shall only be paid for those hours authorized and worked in accordance with this Agreement. All hourly rates shall be invoiced to the nearest quarter hour. The Contractor shall not invoice WorkplaceNL for cancellations or absences from the home assessment.
- B.04 The following information must be included on all invoices:
- vendor name and number,
 - purchase order number,
 - injured worker’s name and claim number,
 - date of Services rendered,
 - Service description,
 - invoice date,
 - invoice number,
 - total invoice amount, and
- Invoices that do not include the referenced information will be returned to the Contractor, and payment may be delayed pending proper invoicing by Contractor.

TRAVEL EXPENSES

- B.05 The Contractor shall comply with WorkplaceNL’s Procedure 100.00: “Travel Procedures” for all travel expenses. The requirement for approval of out of province travel by the Chief Executive Officer and the Chair of the Board of Directors does not apply to the Contractor.
- B.06 The Contractor will use the most practical and economical arrangements for travel and accommodations considering both the dollars cost and travelling time involved. WorkplaceNL shall determine in its sole discretion what expenses shall be considered reasonable.
- B.07 WorkplaceNL shall only pay for travel costs if the total distance traveled per trip is greater than fifty (50) kilometers. The Contractor agrees that:

- a) only time while actually travelling will be reimbursed;
 - b) travel time will be reimbursed at the professional hourly rate of <insert rate> per hour for licensed practitioners;
 - c) a mileage allowance shall be paid in accordance with WorkplaceNL's procedure (Procedure number 100.00: Travel Procedures) for bargaining unit employees; currently \$0.315 per kilometer; and
 - d) compensation for meals shall be paid in accordance with Procedure number 100.00: Travel Procedures for meal expenses for bargaining unit employees;
 - e) compensation for incidentals per each night of overnight travel shall be paid in accordance with Procedure number 100.00: Travel Procedures; and
 - f) copies of receipts for travel disbursements must be provided to WorkplaceNL at the time of invoicing for travel costs.
- B.08 If airline travel is necessary, the Contractor must notify WorkplaceNL and obtain the prior approval of WorkplaceNL. All airline travel must be booked in economy class and in accordance with Procedure number 100.00: Travel Procedures.
- B.09 The type, standard and cost of accommodation will not be in excess of the minimum rate in a commercial establishment for a single room with attached bathroom. Accommodations must not be upgraded to suites or executive floor.
- B.10 Special Government rates, available at many hotels/motels, must be requested when making reservations and wherever possible, establishments that offer discounts should be given preference by the person making the travel arrangements.
- B.11 The Contractor shall prorate the costs of travel where there were multiple services provided, including Services provided at the request of parties other than WorkplaceNL.
- B.12 The Contractor shall notify WorkplaceNL at the beginning of each month of intended overnight travel for both WorkplaceNL and others so that Services can be provided to remote locations on a timely basis and in an efficient manner.
- B.13 Expense claims are required to be submitted to WorkplaceNL no later than 15 days after completion of work.

STANDARD TERMS AND CONDITIONS

1. Agreement - This Agreement includes the following documents and any conflict between the documents shall be resolved by giving priority to the documents in the order as they appear:

- (a) executed agreement between WorkplaceNL and the Contractor to which this document is appended (if applicable);
- (b) WorkplaceNL Confidentiality Schedule (if applicable);
- (c) WorkplaceNL Standard Terms and Conditions;
- (d) Declaration(s) of Confidentiality/Conflict of Interest;
- (e) procurement documents (including purchase orders) issued by WorkplaceNL; and
- (f) Contractor's bid, proposal or quote.

This Agreement constitutes the whole agreement of the parties relative to the purchase of Services from the Contractor by WorkplaceNL and supersedes all prior negotiations, representations, or agreements, either written or oral.

2. Services – Services means all goods, materials and/or services required under this Agreement.

The Contractor shall provide the Services. WorkplaceNL is responsible for the evaluation of the scope and suitability of the Services provided by the Contractor.

3. Independent Contractor Status - The Contractor is an independent contractor as to all Services. Neither the Contractor, its employees, officers, associates nor approved subcontractors, if any, are to be construed as constituting employees, agents, or representatives of WorkplaceNL. As an independent contractor, the Contractor assumes all legal and contractual obligations arising out of the performance of the Services.

The Contractor shall not enter into any contract or commitment in the name of or on behalf of WorkplaceNL, or bind WorkplaceNL in any respect or make statements or representations of any kind or take any other actions that would be binding on WorkplaceNL except as specifically provided in this Agreement.

The Contractor shall be fully responsible for any and all employee benefits to be provided to the Contractor's employees. Neither the Contractor nor its employees, officers, associates or approved subcontractors shall be entitled to participate in or receive any benefits whatsoever from WorkplaceNL as a result of performing Services. The Contractor is solely responsible for deducting the appropriate withholdings from the Contractor and its employees' pay cheques and for the remittance of such withholdings to the appropriate regulatory body as required by law.

Prior to commencing provision of Services the Contractor shall provide to WorkplaceNL a list of employees, officers, associates and approved sub-contractors assigned to perform the Services including their job titles and qualifications for the provision of Services. The employees, officers, associates and sub-contractors assigned to perform Services shall not change except with prior written consent of WorkplaceNL.

WorkplaceNL may, in its sole discretion, request that any employee, officer, associate or approved sub-contractor of the Contractor be prohibited from the performance of Service and/or from access to any files and/or to WorkplaceNL's premises, and the Contractor shall immediately comply with this request. The Contractor hereby releases and forever discharges and holds harmless WorkplaceNL from any costs, claims, losses, and damages of any kind whatsoever based on negligence, defamation, wrongful discharge/dismissal or otherwise which Contractor may suffer, sustain, pay or incur as a result of any actions under this paragraph and will indemnify, defend and hold harmless WorkplaceNL against any third party claims based on actions hereunder.

4. Sub-Contractor - Without the prior written consent of WorkplaceNL, the Contractor shall not subcontract any part of the Services, assign or transfer any interest, or delegate any responsibility arising out of this Agreement, to anyone other than the approved employees, officers, associates, or

subcontractors of the Contractor in accordance with the provisions of this Agreement. Prior to approval of a sub-contractor, the Contractor must establish to the satisfaction of WorkplaceNL, that the sub-contractor also meets the requirements of this Agreement.

5. Payment - Provided the Contractor has complied with all terms, conditions and provisions of this Agreement, WorkplaceNL shall make payments to the Contractor of those fees as set forth in this Agreement, following appropriate invoicing by the Contractor. WorkplaceNL shall not be liable to the Contractor for any other costs or expenses, unless such costs or expenses are approved in advance and in writing by WorkplaceNL, or are specifically set out in this Agreement. The Contractor shall submit expense claims in a format acceptable to WorkplaceNL.

WorkplaceNL shall effect payment of invoices within thirty (30) days of receipt of an acceptable invoice by WorkplaceNL provided that the amounts so billed are correct and properly payable under this Agreement. All invoices shall include the Contractor's registration number for Harmonized Sales Tax (HST) and shall separately identify the amounts of such tax. Payment of invoices may be delayed through failure of the Contractor to identify the registration number and the amount of HST. The Contractor shall maintain records sufficient to verify invoices submitted to WorkplaceNL and WorkplaceNL shall not be responsible for reconciliation and/or review of incorrect bookkeeping by the Contractor.

WorkplaceNL will not pay interest on late or overdue accounts.

Payment for Services shall be made by direct deposit. The Contractor shall supply the necessary banking information to WorkplaceNL within 7 (seven) working days of awarding the contract.

Purchase orders and notices of payments will be forwarded to the Contractor from WorkplaceNL. The Contractor shall supply facsimile information to WorkplaceNL within seven (7) working days of awarding the contract.

Services which have been performed prior to the issuance of a purchase order shall not be invoiced to WorkplaceNL. Only Services rendered within the date range delineated on the purchase order will be paid by WorkplaceNL.

The Contractor shall only submit an invoice for payment once. If the Contractor has not received payment for an invoice, the Contractor may contact the Accounts Payable Department of WorkplaceNL but it shall not resubmit the invoice unless expressly requested by WorkplaceNL. If the Contractor sends a Statement of Account, it shall be clearly marked "Statement of Account" at the top of the page.

6. Set-Off - At its sole option and without notice to the Contractor, WorkplaceNL shall have the right to set-off any amount due to WorkplaceNL by the Contractor under this Agreement or otherwise against any amount due and owing by WorkplaceNL to the Contractor under this Agreement.

7. Non-exclusive - This Agreement does not create an exclusive relationship between the Contractor and WorkplaceNL. WorkplaceNL may also, at any time retain other contractors to perform work in relation to the Services or any changes or additions to such Services. The Contractor is free to, and it is anticipated that the Contractor will, engage with other clientele in addition to its engagement herein with WorkplaceNL.

8. Conflict of Interest – The Contractor shall not provide Services if the Contractor is in a conflict of interest. The Contractor shall not permit any actual, possible or perceived conflict of interest between the interest of WorkplaceNL and/or its clients and the interest of the Contractor. The Contractor shall immediately disclose any such conflict of interest to WorkplaceNL in writing. WorkplaceNL shall, in its sole discretion, determine if an actual, possible or perceived conflict of interest exists and determine the appropriate course of action to be taken by WorkplaceNL and/or the

STANDARD TERMS AND CONDITIONS

Contractor. WorkplaceNL's decision in this regard shall be final and conclusive.

The Contractor:

- (a) shall conduct all duties related to this Agreement with impartiality;
- (b) shall not influence, seek to influence, or otherwise take part in a decision of WorkplaceNL and/or WorkplaceNL's client, knowing that the decision might further its private interests;
- (c) shall not accept any communication, discount, allowance, payment, gift, or other benefit that is connected, directly or indirectly, with the performance of any Services, that causes, or would appear to cause, a conflict of interest; and
- (d) shall have no financial interest in the business of a third party that causes, or would appear to cause, a conflict of interest in connection with the performance of any Services.

9. Confidentiality - All data and information of or concerning WorkplaceNL, WorkplaceNL clients, or of third parties to whom WorkplaceNL owes a duty of confidence, obtained by the Contractor, its employees, officers, associates, and/or approved sub-contractors, is:

- (a) to be treated as confidential;
- (b) to be used only to supply or perform Services to WorkplaceNL pursuant to this Agreement;
- (c) not to be reproduced or disclosed to anyone other than WorkplaceNL personnel as required in the performance of this Agreement;
- (d) not to be removed from the offices of WorkplaceNL without prior written consent of WorkplaceNL;
- (e) to be delivered to WorkplaceNL without cost forthwith upon demand, including all copies and records of same; and
- (f) to be protected by making reasonable security arrangements against such risks as unauthorized access, collection, use, disclosure, disposal, and disaster.

Nothing in the Agreement will prohibit or limit either Party's use or disclosure of information (including, but not limited to, ideas, concepts, knowledge, techniques, and methodologies) which is:

- (a) previously known to it without an obligation of confidence;
- (b) independently developed by or for it;
- (c) acquired by it from a third party which is not under an obligation of confidence with respect to such information; or
- (d) required by law. In the case of a disclosure required by law, the Contractor shall notify WorkplaceNL in writing prior to the disclosure to provide an opportunity to restrain the disclosure.

The Contractor will notify WorkplaceNL both verbally and in writing in the event of any unauthorized access to or disclosure of data or information.

The Contractor acknowledges that WorkplaceNL is bound by the terms of the *Workplace Health, Safety and Compensation Act*, RSNL 1990, c. W-11, as amended (the "Act"), the *Access to Information and Protection of Privacy Act*, 2015, SNL 2015, c. A-1.2, as amended ("ATIPPA"), the *Management of Information Act*, SNL 2005, c. M-1.01, as amended, the *Privacy Act*, RSNL 1990, c. P-22, as amended, and the *Personal Health Information Act*, SNL 2008, c. P-7.01, as amended, and agrees to abide strictly by the terms of these and any other applicable laws and professional standards respecting the collection, use and disclosure of data or information that the Contractor, its employees, officers, associates, and/or approved sub-contractors, could become exposed to directly or indirectly during the performance of Services. WorkplaceNL may be compelled to disclose data or information pursuant to ATIPPA.

The Contractor shall observe all requirements, standards and protocols of WorkplaceNL, relating to confidentiality, conflict of interest, and collection, storage, transfer, copying, modification, use, disclosure and disposition of information. The Contractor shall comply with the provisions of the attached "Declaration of Confidentiality/Conflict of Interest" and shall provide to WorkplaceNL executed copies of the said Declaration of Confidentiality/Conflict of Interest by the Contractor and all of the Contractor's employees, officers, associates, and/or approved sub-

contractors who will perform Services under the Agreement prior to the provision of Services.

Without limiting the generality of the foregoing, the Contractor acknowledges that WorkplaceNL shall have the right to obtain injunctive relief for violation of the terms of the clause. All those carrying out this Agreement on the Contractor's behalf are subject to this Agreement and may be liable to suit by WorkplaceNL for breaching this clause.

When deemed appropriate by WorkplaceNL, in its sole discretion, WorkplaceNL may also require the Contractor and its employees, officers, associates, and/or approved sub-contractors to comply with the WorkplaceNL Confidentiality Schedule. The Contractor must initial the WorkplaceNL Confidentiality Schedule which will form part and parcel of this Agreement.

10. Ownership of Information - All materials, data, designs, plans, drawings, specifications, research, reports, notes, estimates, summaries, calculations, surveys, papers, completed work, and work in progress and such other information and materials or parts thereof as are compiled, drawn and produced by the Contractor in performing the Services, including without limitation computer printouts and computer models and all copyrights thereto and all patents, trademarks and industrial designs arising therefrom are the sole and exclusive property of WorkplaceNL and the contents thereof are privileged and confidential. Nothing in the Agreement shall give the Contractor a right, however arising, to assert any lien, claim, demand, property right, remedy or security right of any kind over the information provided to the Contractor pursuant to the terms of the Agreement. The Contractor acknowledges that WorkplaceNL's right to this information shall at all times be paramount to any rights of the Contractor, at law or in equity, and that the Contractor's remedies against WorkplaceNL for WorkplaceNL's breaches under the Agreement do not include the right to deprive WorkplaceNL of access to WorkplaceNL's information in the Contractor's possession.

11. Access to Information - WorkplaceNL is subject to the ATIPPA and consequently the public has a right of access to WorkplaceNL's records. WorkplaceNL shall not be liable for any claims, costs, losses or damages experienced by the Contractor as a result of WorkplaceNL's release of information to another party pursuant to the provisions of ATIPPA or due to any other legal requirements.

12. Warranty & Liability - The Contractor represents, warrants and covenants that:

- (a) the information contained in its bid, proposal or quote is true and accurate;
- (b) all equipment supplied meets and is operated in accordance with the manufacturer's specifications and applicable regulatory requirements;
- (c) all Services provided are free from any defects in design, materials and workmanship, and that the Services fully comply with specifications and are suitable and fit for its intended purpose;
- (d) the supply of Services will not infringe any patent, trademark or copyright;
- (e) the Contractor provides good and clear title to the Services to WorkplaceNL;
- (f) the Contractor, its employees, officers, associates and approved subcontractors have the necessary skills, expertise, materials and experience; are qualified in the safe work procedures and operations of equipment; and shall provide and perform the Services in accordance with the provisions of this Agreement;
- (g) the Contractor shall provide and perform the Services in accordance with all applicable law and professional standards, and in a skilful, safe, efficient and professional manner satisfactory to WorkplaceNL;
- (h) the Contractor, its employees, officers, associates and approved subcontractors shall comply with all safety and security rules and workplace policies and procedures in effect from time to time while using or accessing WorkplaceNL's premises, assets, and/or resources;

STANDARD TERMS AND CONDITIONS

- (i) the Contractor, its employees, officers, associates, and/or approved sub-contractors will not commence work while under the influence of alcohol, marijuana or illegal drugs;
- (j) the Contractor, its employees, officers, associates, and/or approved sub-contractors shall comply with the *Occupational Health and Safety Act*, R.S.N.L. 1990, c. O-3, and the Regulations thereto, as amended; and
- (k) if the Contractor is carrying on an undertaking in the Province of Newfoundland and Labrador, the Contractor will during the term of this Agreement continue to be registered and authorized to carry on business in compliance with the laws of the Province of Newfoundland and Labrador.

The Contractor shall supply all labour, materials, and supervision to complete the Services in accordance with the Agreement. The Contractor shall obtain all permits and licences, pay such fees, and give all notices necessary for the lawful performance of the Services. Except as otherwise specifically stated in this Agreement, all facilities and equipment required for the provision of the Services shall be provided by the Contractor and shall remain the property and responsibility of the Contractor.

The Contractor is fully and solely responsible for the actions of the Contractor and its employees, officers, associates, and/or approved sub-contractors in performance of the Services and to ensure compliance with this Agreement. WorkplaceNL's approval of employees, officers, associates, or subcontractors does not relieve the Contractor of the Contractor's responsibilities under this Agreement.

13. Indemnity - The Contractor shall indemnify and hold harmless WorkplaceNL from and against all claims, actions, losses, expenses, costs and direct damages of every nature and kind whatsoever which WorkplaceNL or its employees, officers, associates, or agents may suffer where the same are based upon or arise out of anything done or omitted to be done by the Contractor or its employees, officers, associates, and/or approved sub-contractors.

14. Insurance - The Contractor shall, at its own expense and without limiting its liability herein, insure its operations under a contract of General Liability Insurance, with an insurer licensed in Newfoundland and Labrador, in an amount not less than Two Million Dollars (\$2,000,000) per occurrence, with an annual general aggregate, if any, of not less than Four Million Dollars (\$4,000,000) for each of its locations, insuring against any and all bodily injury, personal injury and property damage including loss of use thereof. Such insurance shall include blanket contractual liability and shall be in effect for the duration of the Agreement and any extensions or renewals. The deductibles applicable to the insurance required shall not exceed Five Thousand Dollars (\$5,000) per occurrence.

Certificates of insurance shall be provided to WorkplaceNL prior to the provision of Services under this Agreement and within thirty (30) days of any insurance renewal. All insurance called for under this Agreement shall be endorsed to provide WorkplaceNL with thirty (30) days advance written notice of cancellation, failure to renew or material change (material defined as any change restricting or reducing required coverage). WorkplaceNL may, at any time, request certified true copies of the policies and they shall be provided within fourteen (14) working days of the request. All insurance called for under this Agreement shall be primary insurance and shall not require the pro rata sharing of any loss by WorkplaceNL or any insurer of WorkplaceNL.

15. Good Standing & Safety – If the Contractor is engaged in, about or in connection with an industry in the province of Newfoundland and Labrador under the *Act*, prior to commencing provision of Services and during the term of this Agreement, the Contractor and any approved sub-contractors must be registered as an employer or have independent operator coverage under the *Act*, must be in good standing with WorkplaceNL, and shall comply with the *Act* and the Regulations thereto, as amended. The Contractor authorizes WorkplaceNL to obtain confirmation of the same.

16. Performance Standards

Time is of the essence in the performance of the Agreement.

WorkplaceNL may notify the Contractor of any deficiencies, and in the event that the Contractor has failed to rectify the deficiencies within the time allocated by WorkplaceNL, WorkplaceNL may, at its discretion, obtain the Services, complete the work or rectify the deficiencies to its satisfaction and shall be entitled to deduct and set-off the costs of such work or rectification from any moneys due to the Contractor.

WorkplaceNL shall not be obligated to make any payments for Services rendered by the Contractor to remedy errors or omissions for which, in the reasonable opinion of WorkplaceNL, the Contractor is responsible.

17. Inspection – All Services are subject to final inspection and acceptance by WorkplaceNL. Services failing to conform to the specifications of this Agreement will be held at the Contractor's risk and may be returned to the Contractor. If so returned, all related costs are the responsibility of the Contractor. Services failing to conform to specifications of the Agreement may result in WorkplaceNL making adjustments to invoices.

18. Non-waiver – Mere acceptance of shipment of the Services specified and any inspection thereto by WorkplaceNL, shall not alter, limit or affect the obligations of the Contractor or the rights of WorkplaceNL herein or at law.

19. Title & Risk - Title to Services shall not pass to WorkplaceNL until delivered to a WorkplaceNL location and until such time shall be at the sole risk of the Contractor.

20. Canadian Standards Association (CSA) Approval – The Contractor shall ensure that all electrical, materials, hardware and assemblies supplied under the Agreement are fully CSA approved.

21. Workplace Hazardous Materials Information System (WHMIS) – When dealing with materials designated as hazardous, the Contractor shall ensure all goods and materials are provided with appropriate labels and material safety data sheets where required by WHMIS legislation.

22. Changes – WorkplaceNL, without invalidating the Agreement, may alter, add to or delete from the work, the Agreement sum being adjusted accordingly provided the Contractor has agreed to the same in writing. All such changes shall be governed by the conditions of the original Agreement. Any changes and unforeseen extras must be documented by change orders and approved by WorkplaceNL prior to proceeding. Supplementary invoices not supported by change orders will not be honored. The Contractor must notify WorkplaceNL of changes in the Contractor's policy or organization that affects its ability to meet its obligations outlined in the Agreement.

23. Termination - This Agreement may be terminated at any time by the mutual consent of the Parties.

WorkplaceNL may terminate this Agreement at any time, without cause, by giving thirty (30) calendar days written notice to the Contractor. WorkplaceNL shall not be subject to a claim for damages by the Contractor for any such termination.

WorkplaceNL may, in addition to and not in lieu of any other right or remedy available, at law or in equity, on the terms outlined in this paragraph terminate this Agreement at any time without notice, for cause and without compensation to the Contractor should:

- (a) the Contractor, its employees, officers, associates, and/or approved sub-contractors be in breach of any provision of this Agreement;
- (b) WorkplaceNL determine, in its sole discretion, that the Services are being provided in a manner inconsistent with this Agreement;
- (c) the Contractor fail to make adequate progress in the performance of the Agreement, or alternatively, an indication by the Contractor that

STANDARD TERMS AND CONDITIONS

they cannot or will not meet any or all of the requirements of the Agreement;

- (d) WorkplaceNL determine, in its sole discretion, that the invoicing practices of the Contractor are unsatisfactory or improper; or
- (e) the Contractor becomes insolvent or bankrupt or makes an assignment for the benefit of creditors or receivers appointed of its business, or a voluntarily or involuntary petition in bankruptcy is filed, or proceedings for the reorganization or winding up of the Contractor is instituted.

WorkplaceNL may terminate the Agreement immediately or may provide the Contractor with written notice of the above noted default and provide the Contractor an opportunity to rectify the said default within 72 hours. If the default is not rectified to the satisfaction of WorkplaceNL within 72 hours, then WorkplaceNL can proceed to terminate this Agreement, for cause, without further notice.

Where this Agreement is terminated, either by mutual consent or otherwise, the Contractor shall, without any further fee or cost whatsoever to WorkplaceNL:

- (a) upon the effective date of termination of this Agreement cause all Services being provided under this Agreement to be discontinued, unless WorkplaceNL has provided its express, written consent to the continuation of Services; and
- (b) within thirty (30) working days of the effective date of termination, provide all work product and all final invoices for Services completed to the date of termination pursuant to this Agreement. The obligations of WorkplaceNL to make payment to the Contractor shall continue for Services performed up to and including the date of termination but do not continue beyond that time period. If the Agreement stipulates a lump sum payment, any such payment for Services performed shall be valued proportionately to the value of the contract.

24. Force Majeure – Neither the Contractor nor WorkplaceNL shall be deemed to be in default of its obligations under this Agreement, if, and for as long as, any delay or non-performance is directly or indirectly caused by or results from events of Force Majeure beyond the control of that party. These events shall include, but not be limited to, strikes, civil disturbances, wars, fires, acts of God, and acts of any government or branch or agency thereof.

Force Majeure shall not include the following:

- (a) late delivery of equipment or materials caused by congestion at a manufacturer's plant or elsewhere, an oversold condition of the market, inefficiencies, or similar occurrences; and
- (b) late performance by a sub-contractor(s) unless the delay arises out of a Force Majeure occurrence in accordance with this clause.

25. Records & Audit - The Contractor shall maintain appropriate records and files in relation to the Services provided under this Agreement for seven (7) years, at which time the Contractor will destroy any and all copies and versions of the said records and files. The Contractor will retain copies of the records and files only to the extent required by law and applicable professional standards. The Contractor shall provide a copy of the said records and files within seven (7) working days of receipt of a written request from WorkplaceNL.

In addition to any other rights of inspection or audit that WorkplaceNL may have under this Agreement or under statute, WorkplaceNL or a person authorized by WorkplaceNL, may, at any reasonable time and upon reasonable notice to the Contractor, inspect, audit and evaluate the Contractor's compliance with the terms of this Agreement, including but not

limited to compliance with Service and invoice obligations, privacy, security and information management, under this Agreement through any means including but not limited to the following means:

- (a) on-site visit and examination of records and files, and inspection of electronic devices upon WorkplaceNL's request,
- (b) observation of the performance of the Services in progress,
- (c) full access to records and files and the ability to make copies of the record, and
- (d) oral or written communication pertaining to Services with any clients, employees, associates, directors, officers, agents and approved sub-contractors of the Contractor.

Notwithstanding any other provision of this Agreement, WorkplaceNL shall not be responsible for the Contractor's costs or fees associated with compliance with this section.

26. General - The paragraph headings shall not be considered in interpreting the text.

27. Invalid or Unenforceable Provision - If any term or provision of this Agreement is found to be illegal, invalid, or unenforceable, notwithstanding this Agreement may, at WorkplaceNL's option, remain in full force and effect and such term or provision shall be deemed removed from this Agreement and the remaining provisions form a valid agreement.

28. Waiver - Any waiver of, or consent to depart from, the requirements of any provision of this Agreement shall be effective only if it is in writing and signed by the party giving it, and only in the specific instance and for the specific purpose for which it has been given. Failure on the part of any party to exercise, and/or delay in exercising, any right under this Agreement shall not operate as a waiver of such right. No single or partial exercise of any such right shall preclude any other or further exercise of such right or the exercise of any other right.

29. Governing Law - This Agreement shall be governed by and interpreted in accordance with the laws of the Province of Newfoundland and Labrador, and the forum for all disputes shall be the Courts of the Province of Newfoundland and Labrador.

30. Survival of Obligations – All the obligations of the Contractor under this Agreement, including but not limited to independent contractor status, confidentiality, warranty & liability, records & audit, termination, indemnification and insurance provisions, shall survive the termination or completion of this Agreement.

31. Promotion - The Contractor shall not associate WorkplaceNL in any advertising or other promotional materials or messages associated with it without WorkplaceNL's prior written consent. The Contractor, its employees, officers, associates and/or approved sub-contractors shall not approach WorkplaceNL personnel, to in any way promote the business of the Contractor.

32. Enurement – Subject to the express limitations set out in the Agreement, this Agreement shall enure to the benefit of and be binding upon the respective heirs, executors, administrators, successors and permitted assigns of the Parties.

33. Agreement Amendment - The Agreement may only be modified by a written agreement signed by persons duly authorized by the Contractor and WorkplaceNL.

STANDARD TERMS AND CONDITIONS**DECLARATION OF CONFIDENTIALITY/CONFLICT OF INTEREST**

I, _____, of _____ do declare that:
(Print name of individual) (Print name of contractor)

1. I am either a) an owner of the Contractor; b) an employee of the Contractor, c) an independent contractor or d) I have been determined to be an employee of the Contractor by WorkplaceNL pursuant to the *Workplace Health, Safety and Compensation Act*. I am not an employee of WorkplaceNL.
2. I will perform Services pursuant to the Agreement between the Contractor and WorkplaceNL in relation to WorkplaceNL and/or in relation to clients of WorkplaceNL. All data and information received or obtained in the course of performing the Services, either directly or indirectly, is confidential information. I shall conduct myself in accordance with applicable privacy legislation and professional standards and I shall keep in confidence any such confidential information. I shall not collect, use, or retain any such confidential information whatsoever except to the extent necessary to perform Services. I will protect this information from disclosure to any other person or other entity whatsoever.
3. I acknowledge that I have read and understand the WorkplaceNL Standard Terms and Conditions and any applicable WorkplaceNL Confidentiality Schedule and I, hereby, agree to comply with all terms and conditions outlined therein.
4. Upon termination of my employment with the Contractor or when required, I shall return to the Contractor any and all confidential information obtained in the course of performing Services which is in my possession and/or control. When required, I shall return to WorkplaceNL any and all confidential information obtained in the course of performing Services which is in my possession and/or control. I will retain copies of confidential information only to the extent required by law and applicable professional standards.
5. I will not permit any actual, possible or perceived conflict of interest between the interests of WorkplaceNL and/or its clients and the interests of either myself or the Contractor, and will immediately disclose any such conflict to WorkplaceNL in writing.
6. I agree:
 - (a) to conduct all duties related to Services with impartiality;
 - (b) that I shall not influence, seek to influence, or otherwise take part in a decision related to WorkplaceNL and/or its client, knowing that the decision might further my private interests or the interests of the Contractor;
 - (c) that I shall not accept any communication, discount, allowance, payment, gift, or other benefit that is connected, directly or indirectly, with the performance of Services, that causes, or would appear to cause, a conflict of interest; and
 - (d) that I shall have no financial interest in the business of a third party that causes, or would appear to cause, a conflict of interest in connection with the performance of Services.
7. I acknowledge having read, understood and obtained receipt of a copy of this declaration.

SWORN/AFFIRMED at _____,
in the Province of Newfoundland and Labrador, this
_____ day of _____, 2019, before me:

Commissioner of Oaths

Signature of Individual

CONFIDENTIALITY SCHEDULE

1. For the purposes of this Schedule, references to Contractor(s) shall include the Contractor, its employees, officers, associates, and/or approved sub-contractors and employees, officers, and associates of approved sub-contractors.
2. For the purposes of this Agreement "Confidential Information" means:
 - (a) all communications and instructions from WorkplaceNL respecting the Services;
 - (b) all information acquired by the Contractor respecting policy development, consideration and development, business decisions, internal deliberations, discussions and considerations and any other aspect of the decision-making process of WorkplaceNL;
 - (c) all oral, written, electronic, and machine readable information and data and any accompanying supporting materials and documentation, including without limitation, materials, documents, reports, databases, information and data of whatever nature and kind concerning WorkplaceNL, WorkplaceNL employees, injured workers or employers, disclosed directly or indirectly to the Contractor during the performance of the Services or in any way related thereto;
 - (d) all personal information, as defined under the *Access to Information and Protection of Privacy Act, 2015*, SNL 2015 c. A-1.2, as amended and under the *Personal Health Information Act*, SNL 2008, c. P-7.01, as amended, which is, directly or indirectly, disclosed to or collected by the Contractor during the performance of the Services or in any way related thereto;
 - (e) all information that is developed based upon Confidential Information including the work product of the Contractor; and
 - (f) Confidential Information shall not include any information which:
 - (i) at the time such information was provided to the Contractor was or thereafter became part of the public domain through no act or omission of the Contractor or its representatives; or
 - (ii) is information which the Contractor can show possession of prior to the date of the Agreement and which was received or developed by the Contractor free of obligations of confidentiality to WorkplaceNL.
3. The Contractor shall not directly or indirectly collect Confidential Information in the performance of the Services unless the collection is necessary in order to carry out the duties associated with the Agreement.
4. The Contractor shall only use the Confidential Information acquired in the performance of the Services for the purposes specified in the Agreement, and shall not permit the use of the Confidential Information for any other purposes.
5. The Contractor shall treat all Confidential Information acquired by the Contractor in the performance of the Services as privileged and confidential and shall not directly or indirectly disclose the same to any person or persons at any time without the express written approval of WorkplaceNL, unless required to do so by law. In the event that such disclosure is required, the Contractor shall notify WorkplaceNL prior to the disclosure to provide an opportunity to restrain the disclosure.
6. Upon request by WorkplaceNL, the Contractor shall provide to WorkplaceNL and solely to WorkplaceNL all Confidential Information acquired during the performance of the Services, or shall, at the request of WorkplaceNL, destroy any and all copies and versions of the Confidential Information in the possession and/or control of the Contractor and shall certify the destruction of same to WorkplaceNL. The Contractor shall retain copies of Confidential Information only to the extent required by law and applicable professional standards.
7. To assist and further ensure compliance with the Agreement, the Contractor shall have in place and follow the appropriate systems, processes, protocols and policies to maintain the physical and electronic security of all Confidential Information, which are acceptable to WorkplaceNL and consistent with all applicable privacy legislation, including but not restricted to the following:
 - (a) at a minimum, using the same level of physical and electronic security as the Contractor employs to avoid disclosure or dissemination of the Contractor's own confidential information, to prevent the disclosure of any of the Confidential Information to any third party, or to any of its employees, officers, associates, and/or approved sub-contractors other than those who are required to have access to properly perform the Services under the Agreement;
 - (b) establish and maintain security policies, standards and safeguards to prevent unauthorized access, collection, use, disclosure or disposal of the Confidential Information;
 - (c) prior to execution of the agreement, provide to WorkplaceNL copies of its policies and standards in relation to confidentiality, conflict of interest, and collection, storage, transfer, copying, modification, use, disclosure, disposition, and access of information;
 - (d) advise WorkplaceNL of any changes in its security systems, procedures, practices, policies and standards that may affect the Confidential Information and seek WorkplaceNL's written consent prior to such changes;
 - (e) complete training relating to the *Personal Health Information Act*, SNL 2008, c.P-7.01, and regulations, as amended which WorkplaceNL deems necessary, in WorkplaceNL's sole discretion; and
 - (f) satisfaction of the foregoing commitments includes, but is not restricted to, compliance with the requirements set out in the Protocols for Security of WorkplaceNL Information on Information Technology Assets of Contractors (hereinafter "Protocols") which forms part and parcel of this Schedule, unless otherwise advised by WorkplaceNL, and this includes:
 - (i) complying with all alterations or updates of the Protocols as may be provided to the Contractor from time to time; and
 - (ii) adhering to any additional instructions (including oral instructions) from WorkplaceNL as it relates to the subject matter contained in the Protocols and this Schedule.
8. The Contractor shall only disclose Confidential Information to persons other than its approved employees, officers, associates, and/or sub-contractors with the prior written consent of WorkplaceNL, and then only to those persons who need to know the information in order to carry out the duties associated with the Agreement and only after confirming that such persons agree to comply with the provisions of the Agreement including the requirements set out in the Protocol by requiring such persons to execute the Declaration of Confidentiality/Conflict of Interest attached to the Agreement.
9. The Contractor shall:
 - (a) notify WorkplaceNL promptly of any unauthorized possession, use or knowledge, or attempt thereof, of Confidential Information in the possession of the Contractor, including but not limited to data processing files, transmission messages or other Confidential Information by any person or entity which may become known to the Contractor;
 - (b) promptly furnish to WorkplaceNL full details of the unauthorized possession, use or knowledge, or attempt thereof, and assist WorkplaceNL in investigating or preventing the recurrence of any unauthorized possession, use or knowledge, or attempt thereof, of Confidential Information;
 - (c) use reasonable efforts to cooperate with WorkplaceNL in any litigation and investigation against third parties deemed necessary by WorkplaceNL;
 - (d) promptly use all reasonable efforts to mitigate the damages related to the unauthorized use, possession, or knowledge and to prevent a recurrence of any such unauthorized possession, use or knowledge of Confidential Information; and
 - (e) refer to and follow the privacy breach protocol of the Government of Newfoundland and Labrador as it exists at the time of the breach and located on the Access to Information and Protection of Privacy Office website at: <http://www.atipp.gov.nl.ca/info/Privacy-Breach-Protocol.pdf>.

CONFIDENTIALITY SCHEDULE

Protocols for Security of WorkplaceNL Information on Information Technology Assets of Contractors

The Contractor should confirm with WorkplaceNL whether the Contractor will be required to use information technology resources, including but not limited to computers, of WorkplaceNL in the conduct of the work under the Agreement. The following requirements apply where the Contractor will not be using such assets, but will instead have access to Confidential Information as defined in the Agreement, received from WorkplaceNL and will be storing, manipulating or accessing that Confidential Information on the Contractor's own information technology resources.

- All portable storage devices or media (e.g., flash drives, memory sticks, portable hard drives, writeable compact discs or digital video discs, etc.) may only be used to transport and/or store Confidential Information where either the Confidential Information or the device or media is encrypted.
- Unless specifically separately authorized by the Contractor's Agreement or otherwise, the Contractor is not permitted to attach non-WorkplaceNL computers or other information technology systems to any WorkplaceNL network.
- Contractors are expected to implement and maintain up to date versions of all ordinary business software for the reasonable protection of information on computers attached to the Internet which will have access to or store Confidential Information, including security firewall and anti-viral software.
- Contractors are not permitted to use any Peer to Peer file sharing program (e.g., Limewire, etc) or chat program (e.g., MSN, Skype) on any information technology asset which will contain Confidential Information, or which will be connected via a network to any computer which will contain Confidential Information.
- Email must not be used as a method to transmit Confidential Information across public networks such as the Internet unless the e-mail and/or its attachments are encrypted or zipped in a secure manner.
- Where a Contractor will be granted access to WorkplaceNL computer network during the course of the work, in addition to the requirements noted above, the Contractor shall not:
 - Share personal computer drives or folders on a computer accessing the network; or
 - Access the network remotely, either through wired or wireless connections, except through the use of secure ID and virtual private network systems.
- These requirements apply to the Contractor and all employees, officers, associates, and/or approved sub-contractors of the Contractor, and it is the responsibility of the Contractor to ensure that all such employees, officers, associates, and/or approved sub-contractors are aware of these restrictions and are in compliance herewith.

**WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers**

APPENDIX “B”

Guidelines for Home Assessment Report

Client Name:	
Claim Number	
Date of Injury:	
Referral Source:	
Date of Referral:	
Occupational Therapist:	
Date of Assessment:	
Date of Report:	

1.0 Introduction:

This section should describe the purpose of the referral and detail the referral questions posed.

2.0 Medical:

This section should summarize the workplace injury and issues based on the medical information provided which would impact the Injured Worker's level of functioning in the home.

3.0 Subjective Functional Abilities/Limitations and Coping Strategies:

Describe the worker's perception of their functional abilities and limitations and strategies to address same.

4.0 Home Environment and Support System:

Describe the physical home environment including the type of community, type of dwelling, entrances, exits, terrain around the home, stairs, # rooms and any other relevant information. Measurements should be provided specific to any areas where equipment is to be installed or where modification to the home is recommended. Describe the others who live in the home and the worker's support system.

5.0 Functional Abilities Assessment

Provide details of the objective assessment of Injured Worker's functional abilities affected by the workplace injury including physical, cognitive and psycho-social issues.

[illegible]

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

6.0 Equipment Recommendations:

Please reference WorkplaceNL's Tendered Health Care Devices list. If items on the list are not suitable or not included, please provide a rationale for the alternate device and the ordering details. Digital photos must be taken of areas where installation of equipment is being recommended.

Item Recommended	Rationale	Ordering Details

7.0 Personal Care Recommendations:

Service	Hours	Frequency
Bathing/Dressing/Grooming		
Meal Preparation		
Feeding		
Toileting		
Bed Mobility		
Mobility inside the home		
Mobility in the community		
Housekeeping (laundry/cleaning)		
Supervision		
Other		
Total Hours		

8.0 Home Modifications:

Digital pictures must be taken of the area(s) where a modification is being recommended.

Modification	Rationale	Comments

9.0 Signature: _____ **Date:** _____

WorkplaceNL
2019-20-P
Home Assessment Services of Injured Workers

APPENDIX “C”

OT Home Assessment Referral Form

Home Assessment PO#	Home Assessment Follow-up PO #	Home Assessment Travel PO #
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Client Name		Decision Maker	
Address		Office Location	
		Telephone #	
Telephone #		E-mail Address	
Claim #		Client Region (please √)	Priority of Assessment
Date of Injury		Labrador	() Low (2 weeks)
Date of Birth		Western	() Medium (1 week)
		Northern	() High (ASAP)
To set up the OT Home Assessment please contact:		Grand Falls-Windsor	Please Explain
Name:		Gander/Clareville	
Phone Number:		Burin Peninsula	
		Avalon Region	

1. What question(s) do you want answered from this referral?
2. What prompted this referral?
3. Prior to the assessment please contact: Case Manager Yes() No() OT Consultant Yes() No(), If yes: Name and phone number:
4. Specific area to focus assessment: Equipment/Techniques to increase independence &/or Safety () Mobility () Accessibility () Self Care () Other _____
5. Diagnosis and involved parts of body related to work injury:
6. Known Non-compensable conditions/issues:
7. Prior Home Assessment: Yes() No() Included in the Medical Yes() No()
Comments:

Signature of Decision Maker: _____ Date: _____